1 Uncovering Deep Structure of Determinants in Large Truck Fatal Crashes

- 23 Subasish Das, Ph.D.
- 4 (Corresponding Author)
- 5 Associate Transportation Researcher, A&M Transportation Institute
- 6 1111 RELLIS Parkway, Room 4414, Bryan, TX 77807
- 7 Email: s-das@tti.tamu.edu
- 8 ORCID ID: 0000-0002-1671-2753
- 9

10 Mouyid Islam, Ph.D.

- 11 Research Faculty, Center for Urban Transportation Research, University of South Florida
- 12 4202 E. Fowler Ave., CUT100, Tampa, FL 33620
- 13 Email: mouyid@usf.edu
- 14 ORCID: 0000-0001-9068-9187
- 15

16 Anandi Dutta, Ph.D.

- 17 Senior Lecturer, Computer Science and Engineering, Ohio State University
- 18 2015 Neil Ave, Columbus, OH 43210
- 19 Email: anandixd@tamu.edu
- 20

21 Tahmida Hossain Shimu

- 22 Traffic Designer, HDR
- 23 17111 Preston Road, Suite 300, Dallas, TX 75248 -1232
- 24 Email: Tahmida.Shimu@hdrinc.com
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11 ABSTRACT

12 The number of fatalities and severe injuries in large truck-related crashes has significantly

13 increased since 2009. According to the safety experts, the recent increase in large truck-related

14 crashes can be explained by the significant growth in freight tonnage all over the U.S. over the

15 past few years. This notable freight-haul growth has allowed continuous day-night movement of

16 freight on roads and highways, exposing the trucks to a greater number of potential crashes or

17 near-crash scenarios. There are many ongoing research efforts that aim to identify the different

18 factors that influence large truck crashes; however, further research with innovative approaches

19 is still needed to better understand the relationship between crash-related factors. In this study,

20 the project team applied taxicab correspondence analysis (TCA), a data mining method known

21 for dimension reduction, to large truck fatal crash data to investigate the complex interaction

between multiple factors under a two-dimensional map. For this study, six years (2010-2015) of
 large truck fatal crash data from the Fatality Analysis Reporting System (FARS) were used. The

25 raige fluck ratal clash data from the Fatanty Analysis Reporting System (FARS) were used. The 24 study found five clusters of attributes that show patterns of association between different crash

25 attributes such as two-lane undivided roadways, intersection types, posted speed limit, crash

types, number of vehicles, driver impairment, and weather. The findings of this study will help

27 the safety professionals, trucking industry, and policymakers to make decisions for safer road

28 design, and improvement in truck driver training, and education.

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Keywords: large truck crashes, fatal crashes, injury severity, taxicab correspondence analysis,
FARS.

32

1 INTRODUCTION

2 Large trucks account for over 80 percent of freight tonnage. Large truck crashes interrupt traffic

3 flow and cause tremendous economic loss; however, the number of deaths and the severity of

4 injuries sustained in these crashes are certainly the most acute and dire effects. The number of

5 fatal crashes involving large trucks or buses has increased by 29 percent since 2009 (1).

6 According to the National Highway Traffic Safety Administration (NHTSA), there were 4,761

fatalities involving large trucks in the year 2017 (increased by 9 percent from 2016); this amount
 accounted for 8 percent of all fatal crashes in that year. Furthermore, the risk associated with

9 large trucks in terms of exposure accounts for 9 percent of the overall vehicle miles traveled

10 (VMT) and 4 percent among all registered vehicles in 2016. According to national crash

11 statistics, large truck-related crashes have increased significantly in recent years. This has drawn

12 the attention of safety researchers, but more focus should be directed toward the issue in order to

13 improve overall truck safety and to mitigate the impacts of these crashes on the U.S. economy

- 14 and human lives. A more robust statistical approach was needed to understand the mechanisms
- 15 and factors of roadway crashes involving large trucks.

16 A large truck is defined as a "medium or heavy truck having a gross vehicle weight rating 17 (GVWR) of more than 10,000 pounds, excluding busses and recreational vehicles (RVs)" (1). 18 Due to their considerable weight and size, crashes involving large trucks are more likely to result 19 in a fatality or severe injury (2, 3). Hence, a specialized investigation of crash causation with 20 fatality and injury severity analysis is necessary to identify the mechanisms involved in these 21 crashes and to provide effective countermeasures. There are many ongoing research efforts, both 22 conventional and innovative, that aim at determining the factors that influence large truck crash 23 occurrences to develop more effective safety treatments. However, the number of large truck-24 related crashes is still very high, as shown by recent crash statistics. Thus, there is a need for 25 research efforts with additional resources and newer approaches and techniques.

Correspondence analysis (CA) is a multivariate statistical method that summarizes the essential aspects of a data set by projecting the multivariate data on two-dimensional maps. A sturdy-robust-resistant variant of CA is known as taxicab correspondence analysis (TCA). This new method can smoothly handle complex datasets and produce satisfactory and meaningful results in the presence of outliers. In recent years, attention has been increasingly directed toward determining the factors that significantly affect crash occurrences. This study used large truckrelated crash data from 2010-2015 from the Fatality Analysis Reporting System (FARS). The

application of TCA on this dataset is appropriate due to the method's suitability in addressing the
 research problem related to this data.

35

36 LITERATURE REVIEW

37 A few recent research studies have conducted innovative statistical analyses to investigate traffic

38 crashes involving large trucks. One of the earliest research efforts in documenting and

39 investigating crash causation and crash risk factors associated with large trucks was carried out

40 jointly by the U.S. Department of Transportation's (DOT) Federal Motor Carrier Safety

41 Administration (FMCSA) and NHTSA in the early 2000s (4). This study, named the Large Truck

42 Crash Causation Study (LTCCS), used crash data from 17 states from 2001 to 2003 and aimed to

43 identify key factors of large truck crashes. Although the database created under this project

44 contains descriptive data like other national traffic safety databases (e.g., FARS), the LTCCS

45 study also considered pre-crash factors leading to a crash occurrence such as driver fatigue,

46 distraction, weather, and roadway conditions. Before the LTCCS database was created, crash

count and severity analyses involving large trucks were conducted using conventional police
 report and hospital data (5).

3 Lemp *et al.* (6) conducted an extensive large truck-related crash severity analysis using 4 standard and heteroskedastic ordered probit models with the LTCCS, General Estimates System 5 (GES), and Vehicle Inventory and Use Survey (VIUS) data sets. The focus of this study was to 6 analyze the effect of vehicle, driver/occupant, and environmental characteristics on the severity 7 of injuries sustained during large truck-related crashes. In another study, Hickman *et al.* (7) 8 compared the LTCCS data with Naturalistic Driving (ND) data and argued that this comparison 9 is necessary in order to bridge the information gap between high severity crash occurrences in 10 the LTCCS dataset with non-crash related vehicular conflicts. Koupaenejad (8) investigated the 11 factors contributing to the severity of crashes occurring between passenger cars and large trucks by using multinomial logit (MNL) and ordered probit models. 12

13 The factors influencing crash severity in hazardous material (HAZMAT) carrying large 14 truck-related crashes utilizing ordered probit models with random and set parameters were investigated by Uddin et al. (9). In this study, the most substantial factors that influence the crash 15 16 severity of HAZMAT truck crashes were found to be drivers' sex and age, time of day, lighting 17 condition, terrain, and crash type. Additionally, Islam and Hernandez (10) included random 18 parameter ordered probit models to approximate the possibility of five injury severity outcomes. 19 In another study, Islam and Hernandez (11) utilized random parameter tobit regression 20 examining the large truck-involved fatal crash rates (instead of frequencies) in per million truck-21 miles traveled and ton-miles of freight as continuous censored variables. This study quantified 22 fatality rates with factors related to the crash mechanism, temporal and spatial characteristics, 23 road and environmental attributes, vehicle configuration, drivers and passenger attributes, which 24 were found to be statistically significant. Some unobserved effects were found as a result from the intricate interaction between driver, roadway, traffic, and environmental factors that affect 25 26 the injury severity of crashes. Qin et al. (12) utilized partial proportional odds (PPO), MNL, and 27 mixed logistic (ML) models in large truck-related crash severity analysis. Drivers' age and 28 seatbelt laws were found to not be statistically significant factors in influencing the severity of 29 large-truck related crashes. In addition, Zheng et al. (13) used the gradient boosting data mining 30 technique to perform a crash severity analysis. Eleven variables were found which accounted for over eighty percent of the total severe crashes in the dataset acquired from FMCSA in Colorado 31 32 and North Dakota from 2010-2016. In comparison to other roadway or traffic-related factors, 33 Dissanayake (14) found that driver-related factors had more of an effect on crash injury 34 occurrences endured during large truck-related crashes.

35 Besides these severity analyses, the current literature consists of studies that investigate 36 count data to examine and estimate the total number of crash occurrences and crash frequency. Amarasingha and Dissanayake (15, 16) evaluated the association of geometric properties and 37 38 traffic with large truck-related crashes using Poisson and Negative Binomial (NB) regression 39 models for access-controlled highways, like freeways. Similarly, Dong et al. (17) performed a 40 study in which the authors considered NB and zero-inflated NB (ZINB) models and identified 41 seven factors that were statistically significant in causing truck-related crashes, majority of 42 which were consistent with the earlier studies. Offei *et al.* (18) reviewed the association between 43 crash rates involving large trucks and truck percentages using regression models. 44 Apart from the common modeling techniques, other statistical and innovative machine

learning methods were used to examine the injury severity and crash frequency of large truck related crashes. Some of these examples consist of the classification tree model (19), hierarchical

1 Bayesian random intercept model (20), skewed logistic model (21), and a risk analysis model

2 dependent on collision diagram (22). Even though most of these studies concentrated on highway

3 crashes, Qin *et al.* (23) analyzed the factors contributing to the severity and frequency of truck-

related crashes at freeway diverging section with the use of NB and MNL models. They found
 different genetic elements associated with median/shoulder width, deceleration areas, numbers of

6 lanes, curvature, grade, speed limit, truck percentage, and AADT to be key factors in large truck-

related crashes at freeway sections. However, Taylor *et al.* (25) conducted a similar study but

8 found that geometric attributes of roadways (e.g., number of lanes, shoulder and median widths)

9 and specific crash types were not significant factors influencing the severity and frequency of

10 freight truck-related crashes. Ullman and Iragavarapu (26) investigated fatal work-zone crashes

11 involving large trucks using odds ratio and found that these crashes are overrepresented in the

fatal work-zone crash statistics when analyzed in terms of the time of day and roadwayfunctional classes.

14 Although most of the studies found in the literature investigated factors that contribute to large truck-related crashes, a few other studies only looked at the effect of specific factors such 15 16 as lighting condition (2), time of day (27), geometric elements such as horizontal curvatures (31), 17 roadway separation (32), and crash types (e.g., rear-end crashes (28), rollover crashes (29), runoff-road crashes (30), angle crashes (21), and driver age group (46) on crash occurrence or injury 18 19 severity. Trimble et al. (28) conducted a GES analysis on rear-end crashes involving large trucks 20 in order to determine the causation of this type of crash and to improve rear-signaling under a 21 national project by NHTSA. Table 1 represents the key variables used by previous studies.

Correspondence analysis has become more popular in the field of transportation safety research (*33-40*). In the presence of rarely occurring variable categories, TCA produces visuals that are clearer and more easily interpreted than those produced by multiple correspondence analysis (MCA). The present study aims to investigate the trends of key contributing factors for large truck crashes via the application of TCA to six years (2010-2015) of FARS data. This new method gives this study unique value because it can easily handle the complex nature of large truck-related crash data and provide intuitive and significant results.

29

30 Table 1 Variables Considered in Large Truck Safety Studies

Variable name		Found in studies		
t characteristics	Action or inaction by drivers (maneuver, braking, acceleration, deceleration)	DOT (4), Hickman et al. (7), Islam and Hernandez (10), Kotikalapudi and Dissanayake (14), Chen et al. (20), Balakrishnan et al. (21), Trimble et al. (28), Park and Pierce (29), Al-Bdairi et al. (30)		
	Driver perception and decision errors	DOT (4), Hickman <i>et al.</i> (7), Kotikalapudi and Dissanayake (14), Trimble <i>et al.</i> (28), Park and Pierce (29),		
	Performance errors (sleeping, illness, disability)	DOT (4), Hickman et al. (7), Kotikalapudi and Dissanayake (14)		
an	Fatigue	DOT (4), Hickman et al. (7), Al-Bdairi et al. (30)		
r/Occup	Speeding	DOT (4), Hickman <i>et al.</i> (7), Kotikalapudi and Dissanayake (14), Dong <i>et al.</i> (17), Eustace <i>et al.</i> (19), Qin <i>et al.</i> (23), Trimble <i>et al.</i> (28), Islam and Hernandez (11)		
Drive	Driver age	Charbotel <i>et al.</i> (5), Koupaenejad (8), Uddin <i>et al.</i> (9), Zheng <i>et al.</i> (13), Islam and Hernandez (10,11), Eustace <i>et al.</i> (19), Chen <i>et al.</i> (20), Balakrishnan <i>et al.</i> (21), Taylor <i>et al.</i> (25), Islam and Seckin (44)		

	Gender	Charbotel et al. (5), Koupaenejad (8), Uddin et al. (9), Islam and		
		Hernandez (10), Islam and Hernandez (10), Eustace <i>et al.</i> (19),		
		Chen et al. (20), Balakrishnan et al. (21), Taylor et al. (25)		
	Driver licensing	Zheng et al. (13), Al-Bdairi et al. (30), Islam and Hernandez (12)		
	Number of occupants	Lemp <i>et al.</i> (6). Chen <i>et al.</i> (20). Islam and Hernandez (12)		
	Tailgating	Kotikalapudi and Dissanayake (14), Trimble <i>et al.</i> (28)		
	Laws and features	Charbotel <i>et al.</i> (5).). Islam and Hernandez (10). Eustace <i>et al.</i>		
	(seat belt law, DUI law, airbags)	(19), Chen et al. (20), Balakrishnan et al. (21), Taylor et al. (25)		
s	Vehicle types	Charbotel <i>et al.</i> (5), Uddin <i>et al.</i> (9), Balakrishnan <i>et al.</i> (21), Qin <i>et al.</i> (23) Taylor <i>et al.</i> (25) Yang <i>et al.</i> (32)		
tic	Malfunction in braking system	DOT (4) Hickman <i>et al.</i> (7) Kotikalapudi and Dissanavake (14)		
siris	(brake failure loss of control)	Trimble <i>et al.</i> (28) Al-Bdairi <i>et al.</i> (30)		
lcte	Vehicle design elements	Lemp et al. (6) Koupaeneiad (8) Zheng et al. (13) Kotikalapudi		
ara	(front and rear overhang width	and Dissanavake (14) Park and Pierce (29) Islam and Hernandez		
chi	weight length GVWR trailing	(12)		
cle	unit)	(12)		
hid	Number of trucks or other vehicles	Lemp et al. (6). Islam and Hernandez (10). Offei et al. (18). Chen		
٨e	(truck percentages, AADT.	et al. (20). Oin et al. (23). Wang et al. (24). Taylor et al. (25). Al-		
	vehicles involved in the crash)	Bdairi et al. (30). Yang et al. (32)		
	Roadway condition	DOT (4). Charbotel <i>et al.</i> (5). Lemp <i>et al.</i> (6). Hickman <i>et al.</i> (7).		
	(classification, terrain, visibility of	Uddin et al. (9), Offei et al. (18), Eustace et al. (19), Chen et al.		
	markings, surface condition)	(20), Balakrishnan et al. (21), Qin et al. (23), Taylor et al. (25),		
		Ullman and Iragavarapu (26), Park and Pierce (29), Al-Bdairi <i>et al.</i>		
		(30), Fitzsimmons et al. (31) , Yang et al. (32)		
	Adverse weather effect	DOT (4), Lemp et al. (6), Hickman et al. (7), Kotikalapudi and		
ş		Dissanayake (14), Offei et al. (18), Eustace et al. (19), Taylor et al.		
stic		(25), Fitzsimmons et al. (31), Yang et al. (32), Islam and		
ris		Hernandez (12)		
acto	Interruptions in traffic flow	DOT (4), Hickman et al. (7), Amarasingha and Dissanayake (15),		
ara	(intersection, previous crash, work	Dong et al. (17), Eustace et al. (19), Ullman and Iragavarapu (26),		
ch	zone, peak hour congestion)	Park and Pierce (29)		
lsh	Roadway design elements	Islam and Hernandez (10), Amarasingha and Dissanayake (15),		
CLS	(curvature, grade, width, median)	Dong et al. (17), Eustace et al. (19), Chen et al. (20), Qin et al.		
p		(23), Wang et al. (24), Taylor et al. (25), Park and Pierce (29), Al-		
an		Bdairi et al. (30), Fitzsimmons et al. (31), Islam and Hernandez		
/ay		(11, 12)		
Mp	Lighting condition	Al-Bdairi et al. (2), Koupaenejad (8), Uddin et al. (9), Islam and		
koa		Hernandez (10), Eustace et al. (19), Chen et al. (20), Taylor et al.		
l, F		(25), Ullman and Iragavarapu (26), Pahukula (27), Fitzsimmons <i>et</i>		
ıta		<i>al.</i> (<i>31</i>), Yang <i>et al.</i> (<i>32</i>)		
nei	Divided/undivided	Koupaenejad (8), Qin <i>et al.</i> (23), Taylor <i>et al.</i> (25), Al-Bdairi <i>et al.</i>		
III		(30), Yang et al. (32)		
vir	Posted Speed Limit	Eustace <i>et al.</i> (19), Balakrishnan <i>et al.</i> (21), Qin <i>et al.</i> (23), Taylor		
En		<i>et al.</i> (25), Trimble <i>et al.</i> (28), Fitzsimmons <i>et al.</i> (31)		
	Time of day	Charbotel <i>et al.</i> (5), Uddin <i>et al.</i> (9), Islam and Hernandez		
		(10,11,12), Zheng et al. (13), Eustace et al. (19), Balakrishnan et		
		<i>a.</i> (21), Uliman and Iragavarapu (20), Panukula (27), Fitzsimmons d_{1} (21). Nong et al. (22)		
	Croch type	et ut. (51), Tally $et ut. (52)Check et al. (5). Utalment et al. (7). Known et al. (9). Utality ($		
	(hand on t collision angle and	Charbolel et al. (3), Hickman et al. (7), Koupaenejad (8), Uddin et al. (0), Islam and Harmandar (10, 11). There at r_{1} (12). Existence of		
	(nead on, t-consion, angle and	(1, 1), Islam and Hernandez (10,11), Zheng et al. (13), Eustace et al. (10) Chap at al. (20). Balakriakraan at al. (21). Taylor at al. (25)		
1	rear-end crashes, roll over)	ai. (19), Chen et $ai.$ (20), Balakrishnan et $ai.$ (21), Taylor et $ai.$ (23),		

	Al-Bdairi <i>et al.</i> (30), Fitzsimmons <i>et al.</i> (31), Yang <i>et al.</i> (32), Islam and Hernandez (11, 12)
Miscellaneous	Zheng <i>et al.</i> (13)
(Truck company attributes)	

2 METHODOLOGY

3 Taxicab Correspondence Analysis (TCA)

- 4 In a series of studies (41-43), Choulakian introduced the method Taxicab Correspondence
- 5 Analysis (TCA). Based on Choulakian's theory, the following is a brief description of TCA:
- 6 Unlike correspondence analysis (CA), which is based on Euclidean distance, TCA uses
- 7 the Manhattan, City Block, or Taxicab distance. Let, *X*, *Y*, and \boldsymbol{v} be such that X =
- 8 (x_1, x_2, \dots, x_n) and $Y = (y_1, y_2, \dots, y_n)$ are the two components of a vector $\boldsymbol{v} =$
- 9 (v_1, v_2, \dots, v_n) in a 2-D space. From these definitions, the following distances can be calculated 10 (40):

Euclidean Distance =
$$ED(X, Y) = \sqrt{\sum_{i=1}^{n} (x_i - y_i)^2}$$
 [with L_2 Norm= $||v||_2 = (1)$
 $\sqrt{\sum_{i=1}^{n} (v_i)^2}$]

$$Taxicab \ Distance = TD(X, Y) = \sum_{i=1}^{n} |x_i - y_i| \ [with \ L_1 \ Norm = ||v||_1 = \sum_{i=1}^{n} |v_i|]$$
(2)

11

1

Both CA and TCA are based on the singular value decomposition (SVD). This concept includes the decomposition of a real matrix A to $M\Lambda^{1/2}N'$, where Λ is the diagonal matrix of the real non-negative eigenvalues of AA', M is the orthogonal matrix of the corresponding eigenvectors, and N is the matrix of eigenvectors of A'A (with constraints M'M = I and N'N =

16 *I*). The SVD theory relates to the reconstruction formula of a *k*-rank matrix, written as:

$$a_{ij} = \sum_{i=1}^{k} \sqrt{\lambda_{\alpha}} \, m_{i\alpha} n_{i\alpha} \tag{3}$$

17

18 The SVD solution is developed via a recursive optimization process in the TCA 19 framework. To solve the equivalent optimization problem, one must locate the first vectors m_1 20 and n_1 which are principal components of A.

 $max ||Am||_2$ subject to $||m||_2 = 1$

 $max ||A'n||_2$ subject to $||n||_2 = 1$

21 22

The solution provides the largest singular value of *A*.

$$\lambda_1 = \max_m \frac{\|Am\|_2}{\|m\|_2} = \max_n \frac{\|A'n\|_2}{\|n\|_2} = \max_{m,n} \frac{n'Am}{\|m\|_2 \|n\|_2}$$
(4)

23 The reconstruction can be written as:

 $A = \sum_{i=1}^{k} \lambda_{\alpha} n_{\alpha} m'_{\alpha} \text{ [where: } \sum_{\alpha} \lambda_{\alpha}^{2} = Tr(A'A)$ (5)

- The TCA framework also includes a distance matrix known as L_{∞} matrix. The aim is to minimize the rank of T (and consequently of N) without displacing relevant information. To formalize the solution, an appropriate reduced rank matrix \hat{T} is considered that best approximates T in the sense of the weighted least squares, that minimizes the residuals **R** that can be expressed
- 28 as (41):

$$\boldsymbol{R} = n \sum_{i=1}^{r} \sum_{j=1}^{l} \frac{(t_{ij} - \hat{t}_{ij})^2}{t_{i.} t_{.j}} = n \, trace \left(D_l^{-1/2} (T - \hat{T})' D_r^{-1} (T - \hat{T}) D_l^{-1/2} \right)$$
(6)

TCA is defined as the Taxicab SVD of the data table D = T - rl', considering the profiles of the table, $R = D_r^{-1}D$ for the rows and $L = D_l^{-1}D$ for each of the columns. The solution is recursive at each step by considering the residuals from the previous factors that differentiates it from CA. The reconstruction formula can be formulated as such:

$$T = p_r p'_c + \sum_{\alpha=2}^k \frac{1}{\lambda_{\alpha}} B_{\alpha} C'_{\alpha}$$
⁽⁷⁾

6 After the final transformation has been conducted, it can be written as:

$$n_{ij} = nr_i l_j (1 + \sum_{\alpha=2}^k \frac{1}{\lambda_{\alpha}} b_{i\alpha} c_{j\alpha})$$
(8)

7

8 DATA DESCRIPTION

9 A large truck is any medium or heavy truck, excluding buses and motor homes, with a gross

- 10 vehicle weight rating (GVWR) greater than 10,000 pounds. Table 2 displays the description of
- 11 the vehicles that are considered as large trucks in the FARS database (47).

12

13 Table 2 Large Truck Codes in the FARS

Code	Description
60	Step Van
61	Single-Unit Straight Truck (10,000 lbs < GVWR <= 19,500 lbs)
62	Single-Unit Straight Truck (19,500 lbs < GVWR <= 26,000 lbs)
63	Single-Unit Straight Truck (GVWR > 26,000 lbs)
64	Single-Unit Straight Truck
66	Truck/Tractor (Cab Only, or with Any Number of Trailing Units: Any Weight)
67	Medium/Heavy Pickup (GVWR > 10,000 lbs)

14 *GVWR=Gross Vehicle Weight Rating*

15

16 In this study, six years (2010-2015) of large truck fatal crash data were obtained from

17 FARS. The crash data file, vehicle data file, and person data file are selected for this study.

18 Preliminary data exploration was conducted at the beginning to examine the significant factors

19 that may contribute to crash occurrence. After the preliminary analysis, this study excluded

20 irrelevantly, and other redundant variables from the raw data before applying TCA. Figure 1

21 shows the flow chart of the data preparation task.



Figure 1 Flowchart of the data preparation.

4 There are 14 key variables in the final dataset; Table 3 displays the proportional 5 distribution of these variables. Roadway functional class accounts for the roadway classification 6 for each crash occurrence. From the percentage distribution, over 50 percent of the fatal crashes 7 occurred under rural environment, which agrees with the study conducted by Chen et al. (20). 8 They investigated the key factors affecting large truck-related crashes; they found significant 9 statistical evidence that rural areas are more crash-prone for large trucks. They also found that, 10 based on intersection type, approximately 75 percent of all crashes occurred on roadway segments. Furthermore, the proportions of attributes in roadway alignment show that 11 12 approximately 83 percent of all roadway crashes occur on straight roadway segments. These 13 large representations of crash statistics on roadway segments are in line with the findings by 14 Dong et al. (17) where the authors investigated the effect of geometric design features and found 15 a strong association of longer straight segment lengths to crash occurrences. The proportion 16 distribution of several of the variables are also in line with other studies such as lighting condition (2), roadway gradient (17), posted speed limit (19), time of day (27), weather condition 17 (25), number of vehicles involved (6), and types of collision (9, 21). Three person-level variables 18 19 (previous accident record of the drivers, driver's past license suspension record, and impaired 20 driving) are associated with the driving patterns of the large truck drivers associated in fatal 21 crashes. However, association with prior crashes has high proportions compared to the other two 22 traits.

23

24

1	Table 3 D	escriptive	Statistics (of Kev Y	Variahles
			Duribuico .		v al labico

Attributes	Perc.	Attributes	Perc.
ROAD_FNC (FC)*		VSPD_LIM (Posted Speed Limit or PSL)	
Rural Interstate	29.7	0-25 mph	2.0
Rural Principal Arterial	10.0	30-40 mph	10.3
Rural Minor Arterial	8.4	40-60 mph	49.3
Rural Collector	4.1	60-70 mph	29.5
Rural Unknown	0.2	> 70 mph	8.4
Urban Interstate	12.6	Unknown	0.6

Urban Principal Arterial	8.7	HOUR (Hour)	
Urban Minor Arterial	3.9	12am- 6am	20.3
Urban Collector	1.3	7am-12pm	31.5
Urban Local	3.1	1pm- 6pm	31.6
Urban Unknown	0.4	7pm- 12am	14.0
Unknown	17.6	Unknown	2.5
TYP_INT (Int.)		WEATHER (Weather)	
Segment	74.9	Clear	70.7
4-way Intersection	17.2	Rain	7.4
T-Intersection	7.1	Others	21.9
Others	0.9	VE_TOTAL (Inv.)	
VTRAFWAY (Trafficway)		Single	18.6
Two-Way Undiv.	49.1	Two	60.6
Two-Way Div. Barier	22.0	Multi	20.8
Two-Way Div. Unprotected	22.6	MAN_COLL (Coll.)	
Others	6.3	Not with Other Veh.	25.8
VALIGN (Align.)		Angle	30.2
Straight	82.8	Front-to-Rear	20.9
Curve Left	8.2	Front-to-Front	14.4
Curve Right	6.1	Sideswipe (Oppo.)	3.8
Others	3.0	Sideswipe (Same)	3.4
LGT_COND (Light)		Others	1.5
Daylight	62.1	PREV_ACC (Prev_Crash)	
Dark -Not Lighted	24.0	No	76.1
Dark -Lighted	9.3	Yes	23.9
Others	4.7	PREV_SUS (Prev_Sus)	
VPROFILE (V_Profile)		No	88.4
Level	69.9	Yes	11.6
Grade, Unknown Slope	13.2	DR_DRINK (Dr_Alc)	
Downhill	5.6	No	97.6
Uphill	4.6	Yes	2.5
Others	6.7		

1 * Variable code used in FARS (variable code used in this study)

2 **RESULTS AND FINDINGS**

The TCA method compiles the key components of a complex dataset by mapping the
multivariate data on two-dimensional or three-dimensional displays. Ultimately, the goal is to

5 produce a thorough biplot. The readability and interpretability of the map is highly important in

6 most cases. The biplot displays the key attributes of the selected variables identified by their

labels; for example, the selected variable could be roadway type, and rural two-lane roadways
with a barrier would be displayed and identified by their labels. In the presence of a large set o

with a barrier would be displayed and identified by their labels. In the presence of a large set of
attributes, the biplot can become cluttered and the labels might not be easy to read.

10 An important characteristic of TCA and CA is that columns (or rows) with identical

11 profiles (conditional probabilities) possess the same factor scores. An important benefit of TCA

12 compared to CA is that it directly acts on the correspondence matrix P without calculating a

13 dissimilarity (or similarity) measure between the rows or columns; thus, it remains closer to the

14 original data. Furthermore, TCA performs better in the presence of missing data.

1 The percentage of variance explained by both axes is around 52 percent (axis 1 explains 2 28.16 percent of the variance, and axis 2 explains 23.75 percent of variance). The locations of the 3 variable categories indicate their association patterns. Figure 2 shows the complete TCA plot. As 4 the plot is very cluttered with the presence of all attributes on the same plot, four separate plots 5 are recreated (see Figure 3) for better visualization and interpretation. The solid parabolic shapes 6 represent a cluster with a distinct association pattern. The clusters with both obvious and trivial 7 associations are shown by dotted parabolic shapes.

8

9 Cluster 1a (Urban collector or minor arterial, Intersection= T-intersection or 4-way

10 intersection, Posted speed limit= 30-40 mph)

- This cluster is visible in the first quadrant of the TCA plot (see Figure 2). While several studies 11 have invested research efforts in identifying factors corresponding to large truck-related crashes 12
- 13 in a rural environment, only a few have investigated crashes under urban environment. Charbotel
- 14 et al. (5) explored the differences of crash scenarios in both rural and urban areas in large truck-
- related crashes and found that the injury severity in truck-involved crashes has been increasing 15
- 16 significantly, especially in urban collectors or arterials. Pahukula et al. (27) found a close
- 17 association between factors of intersection and posted speed limit as contributing to crashes
- occurring in urban areas. Cluster 1b (shown in a dotted parabola in Figure 3b) in quadrant 1 18
- 19 shows a cluster of several attributes with trivial associations.
- 20

21 Cluster 2a (Lighting=Dark lighted or dark not lighted, Roadway= Two-way divided

22 unprotected, Collision=Front to rear)

- 23 The first dominant cluster identified in the second quadrant indicates that the dark, not lighted
- 24 condition in the two-way unprotected-divided roadway is prone to rear-end crashes involving
- large trucks (as shown in Figure 2). This cluster is also in the close neighborhood of interstate 25
- 26 and high posted speed limit (60 mph and above). The association of factors discerned in this
- 27 cluster is in line with multiple studies found in the literature. Al-Bdairi et al. (2) found that
- 28 speeding while driving in darker conditions can significantly increase the chances of more severe
- 29 crashes on rural interstate highways. Trimble et al. (28) investigated the factors contributing to
- 30 rear-end crashes involving large trucks and concluded that speeding including acceleration and deceleration in anticipation of drivers' attempted avoidance maneuvers is a significant factor of 31
- 32 crashes. In a comprehensive study, Koupaenejad (8) found an association between no divided
- 33 medians and younger male drivers leading to severe crashes on interstate highways.
- 34

35 Cluster 3a (Impaired driver, Single truck or sideswipe same direction, and Single or 36 multiple vehicle involvement)

- 37 The attributes in this cluster, as shown in Figure 2, illustrates close agreement with the findings
- 38 by Chen et al. (20) where the authors showed that driver impairment in terms of drug or alcohol
- 39 consumption, and a number of vehicles involved in the crash are significant factors in severe
- 40 injury or fatal crashes. The findings by Al-Bdairi et al. (2) suggest that single-vehicle crashes
- 41 that involve running off-road under a dark condition with a fixed roadside object can lead to
- 42 fatality in large truck-related crashes. Uddin and Huynh (9) findings show contradiction to these
- 43 studies where the authors claim that actions corresponding to single-vehicle crashes involving
- 44 large trucks such as sideswipe, run-off-road, and hitting stationary object are associated with
- 45 decreased probability of injury severity. Additionally, the likelihood of fatal or severe injury

1 crashes increases for single-vehicle involvement and decreases if there are multiple vehicles

- 2 involved in the crash (9, 30).
- 3

Cluster 3b (Segment, Weather= Rain or others, Uphill, Previous crash conducted by the truck driver=Yes and unknown functional class)

- 6 The association is Cluster 3b, as shown in Figure 3c, suggests that adverse weather conditions,
- 7 such as raining and dust on an uphill gradient significantly increase the probability of fatal
- 8 crashes involving large trucks, specifically with drivers having past crash experience. The
- 9 relationship deciphered in this cluster can be justified by the findings of Dong *et al.* (17), where
- 10 the authors found that an uphill or downhill gradient significantly increases the chance of a
- 11 severe crash under adverse weather condition. The inference of these geometric and weather
- 12 conditions with that of the driver's previous crash experience is explainable by the plausible
- 13 assumption that the drivers with past crash experience may have issues with safe driving
- behavior. Hence, this factor is well expected to be a significant one in contributing to a fatalcrash.
- 16

17 Cluster 4a (Functional class= Rural principal or minor arterial, collector & urban local,

Roadway= Two-way undivided, Collision= Sideswipe opposite, low-posted speed limit, and Alignment=Others)

- 20 As illustrated in Figure 2, the attributes grouped under this cluster agree with the findings listed
- 21 in Cluster 1a and Cluster 1b, which identified two sets of significant factors related to large truck
- 22 fatal crashes in rural and urban areas. Although a greater number of factors are found in one
- 23 single cloud in this cluster, a distinction can be drawn as to which ones are related to the land use
- context (rural vs. urban). This is supported by a study conducted by Islam and Hernandez (10).
- 25 For example, two-way undivided roadways are more likely to be found in the rural principal or
- 26 minor arterials, whereas, the low-speed limit is likely to be associated with urban collector and
- 27 local roadways. Cluster 4b is not referencing a strong cluster inference (shown in Figure 3d).
- 28
- 29 30





Figure 2 TCA plot with two axes and quadrants for distinct association pattern.



1 Figure 3 TCA plot by quadrants for closer association pattern.

1 The final dataset contains 26,275 individual data points representing the personal 2 information of the large truck drivers and occupants (LTDO) involved in crashes. With the TCA 3 method, LTDO locations can be divided into 69 distinct clusters. Figure 4 provides an illustration 4 of the distribution of each LTDO (mapping the individuals in the biplot instead of mapping the 5 variable attributes) on both axes. The figure shows 69 distinctive clusters if one clusters the 6 points vertically based on axis 1 (each cluster represents each vertical line on the x-axis) and 7 there are clusters located on both the positive and negative side of the x-axis. Points that are 8 larger in size represent a greater count of LTDO with the same co-ordinates.

9



10

Figure 4 Clusters of large truck-involved people.

Four general crash prevalence conditions were considered for further analysis. These conditions are LTDO involvement in previous crashes (yes/no), LTDO with a record of previous suspension history (yes/no), intoxication (yes/no) of LTDO, and single truck crash (yes/no).

Table 4 lists the importance of the clusters by computing the log-odds ratio of the crash
 prevalence conditions with respect to the marginal distribution. The interpretation of LOR (X=x)
 is as follows:

LOR (X=x) = 0.00 indicates that the proportion of category A in cluster x is equal to the
 proportion of category B in the sample. For example, the LOR value for LTDO in
 previous crashes (yes vs. no) is 0 for Cluster07. Cluster07 is associated with 29 LTDO.

- LOR (X=x) > 0.00 indicates that the proportion of category A in cluster x is greater than
 the proportion of category B in the sample. For example, the LOR value for LTDO in
 previous crashes (yes vs. no) is 1.77 for Cluster07. This cluster has 275 LTDO. The LOR
 value indicates that this cluster is positively associated with LTDO who have previous
 crash histories.
- LOR (X=x) < 0.00 indicates that the proportion of category A in cluster x is smaller than
 the proportion of category B in the sample. For example, the LOR value for LTDO in
 previous crashes is -0.59 for Cluster23. It indicates that this cluster is negatively
 associated with LTDO who have previous crash histories.

1 Some of the clusters have zero LOR values for all the crash prevalence scenarios. These clusters

2 involved only 0.75 percent of all LTDOs.

2 3 4

Table 4 Log Odds Ratio of Four Crash Prevalence Scenarios

Row		Prev_Crash	Prev_Suspen	Alc	SingleVeh
Labels	Count	(Y_vs_N)	(Y_vs_N)	(Y_vs_N)	(Y_vs_N)
Cluster01	7	2.07	3.82	3.97	3.27
Cluster02	72	2.67	2.72	1.61	1.42
Cluster03	16	2.26	3.97	1.74	2.58
Cluster04	275	1.77	1.82	1.51	1.59
Cluster05	3	0.00	0.00	0.00	0.00
Cluster06	30	0.47	1.34	2.30	2.49
Cluster07	29	0.00	0.00	5.03	3.64
Cluster08	5	0.00	0.00	0.00	0.00
Cluster09	764	1.14	1.09	0.82	1.01
Cluster10	6	0.00	2.03	0.00	0.00
Cluster11	5	0.00	0.00	0.00	0.00
Cluster12	72	0.47	1.69	0.13	1.93
Cluster13	9	0.00	2.72	0.00	0.00
Cluster14	1623	0.61	0.80	0.60	0.66
Cluster15	4	0.00	0.00	0.00	0.00
Cluster16	9	0.00	2.72	4.38	0.00
Cluster17	86	-0.17	0.70	1.41	1.43
Cluster18	18	0.47	0.42	2.08	2.17
Cluster19	8	1.16	2.03	0.00	1.48
Cluster20	11	0.98	0.00	0.00	1.29
Cluster21	2405	0.30	0.39	0.37	0.35
Cluster22	15	0.75	2.43	2.30	1.88
Cluster23	108	-0.59	-0.25	0.85	1.18
Cluster24	32	-0.31	-0.24	3.43	1.73
Cluster25	5	0.00	0.00	0.00	0.00
Cluster26	9	0.00	0.00	0.00	0.00
Cluster27	3006	0.15	0.20	0.16	0.16
Cluster28	4	0.00	0.00	0.00	0.00
Cluster29	12	0.00	0.00	2.59	0.00
Cluster30	5	0.00	0.00	0.00	0.00
Cluster31	18	0.00	0.00	0.00	0.00
Cluster32	106	-0.72	-0.03	1.80	1.59
Cluster33	5	0.00	0.00	0.00	0.00
Cluster34	36	0.06	0.42	1.29	2.17
Cluster35	8	1.16	0.00	0.00	1.48
Cluster36	12	0.00	0.00	0.00	0.00
Cluster37	3124	-0.05	-0.03	0.06	0.14
Cluster38	37	0.00	0.00	0.00	0.00
Cluster39	116	-0.41	0.20	1.32	1.55
Cluster40	27	-0.09	0.00	1.61	1.70
Cluster41	4	0.00	0.00	0.00	0.00
Cluster42	11	0.00	0.00	0.00	0.00
Cluster43	3186	0.05	-0.10	-0.61	0.02
Cluster44	4	0.00	0.00	0.00	0.00
Cluster45	3	0.00	0.00	0.00	0.00
Cluster46	134	-0.58	-0.12	-0.50	1.69

Row	Count	Prev_Crash	Prev_Suspen	Alc	SingleVeh
Labels	Count	(Y_vs_N)	(Y_vs_N)	(Y_vs_N)	(Y_vs_N)
Cluster47	89	0.68	-1.33	0.00	1.72
Cluster48	8	1.16	0.00	0.00	0.00
Cluster49	6	0.00	0.00	0.00	0.00
Cluster50	3328	-0.05	-0.36	-0.86	-0.38
Cluster51	4	0.00	0.00	0.00	0.00
Cluster52	3	0.00	0.00	0.00	0.00
Cluster53	19	0.00	0.00	0.00	2.51
Cluster54	104	-0.70	-0.21	-0.25	0.38
Cluster55	24	0.06	0.08	0.00	0.38
Cluster56	12	0.00	0.00	0.00	2.17
Cluster57	3220	-0.34	-0.79	-1.21	-1.05
Cluster58	3	0.00	0.00	0.00	0.00
Cluster59	34	-1.61	-0.74	1.67	-0.54
Cluster60	15	-0.23	0.00	0.00	1.07
Cluster61	8	0.00	0.00	0.00	0.00
Cluster62	10	0.00	0.00	0.00	0.00
Cluster63	2317	-0.77	-1.13	-1.86	-2.49
Cluster64	34	-1.61	0.00	0.00	-1.30
Cluster65	6	0.00	0.00	0.00	0.00
Cluster66	1244	-1.66	-2.04	-1.83	-3.56
Cluster67	8	0.00	0.00	0.00	0.00
Cluster68	279	-3.77	-2.49	-1.94	0.00
Cluster69	16	0.00	0.00	0.00	0.00

1

Note: Prev Crash(Y vs N) indicates previous crash experiences in last 5 years??

2 3

4

5

Table 4 shows that 13 clusters have LOR values greater than zero for all prevalence groups. These clusters represent 8,339 LTDOs. Out of these 8,339 LTDOs, the people having these prevalence traits are over-represented. Future research is needed to explore the driver and occupant traits in these groups.

6 7

8 CONCLUSIONS

9 The U.S. economy benefits immensely from the effective movement of freight. An

10 unprecedented peak in freight-hauling was recorded in 2015 due to an economic uprising

11 following the recession from 2007-2009. In the U.S., the amount of freight transported on a daily

12 basis averaged 49.3 million tons and was valued at nearly \$53 billion in 2015 (44. 45). In this

13 study, TCA, a robust variant of CA, was applied to six years of fatal crashes obtained from

14 FARS. This technique allows a powerful interpretation of the complex association of factors in

15 multivariate events using two-dimensional maps. The method can handle complex datasets with

16 many outliers, which fits the FARS dataset perfectly as it only screened for large truck crashes.

17 The findings of this study are as follows:

- Urban intersections are the setting for a disproportionate number of large truck fatal
 crashes.
- There is a strong association between two-way roadways with an unprotected median and
 large truck fatal crashes.
- 22 Two distinct clusters (impaired driver's involvement in single-vehicle crashes, and
- drivers with the past crash record being involved in inclement weather crashes) indicate
 human error associated patterns in large truck fatal crashes.

Driving in non-daytime hours is associated with a high number of truck-involved crashes.

- 2 Individual-level TCA analysis identified 69 distinct clouds based on four prevalence 3
 - driving behaviors. A total of 13 clusters show LOR values greater than zero for all prevalence behavioral groups. These clusters represent 8,339 LTDOs. Out of these 8,339
 - LTDOs, the people that possess these prevalence traits are over-represented.
- 5 6 The CA approaches focus on the associations between the covariates of crashes rather

7 than the associations between each covariate with the frequency (or odds) of crashes. However,

8 TCA determines the strength of the association of a variable with the frequency of crashes, as

9 shown in the LOR analysis. Because of this, TCA results are more easily interpretable than CA 10 results.

- 11 The TCA method, currently being more applied to a large database such as FARS, helps to understand the crash patterns and also associate the contributing factors to fatal crashes. With 12 13 the growing body of literature in large truck safety, this study explores TCA in uncovering the 14 deeper relations of factors leading to fatalities. This study is not without limitations. Newer
- FARS data have been released in the recent years. The current study is limited to 2010-2016 15
- 16 FARS data. Additionally, this analysis is also limited to a broader group by defining it as large
- 17 truck. There is a need for separating out large, medium, and very large trucks, which is not
- 18 currently done in the current study. The current limitations can be improved in future studies.
- 19

20 DISCLAIMER

- 21 The contents of this paper reflect the views of the authors and not the official views or policies of
- 22 the Louisiana Department of Transportation and Development (LADOTD).
- 23

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4

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28 **AUTHOR CONTRIBUTION STATEMENT**

- 29 The authors confirm contribution to the paper as follows: study conception and design: Subasish
- 30 Das; data collection: Subasish Das, and Anandi Dutta; analysis and interpretation of results:
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- 32 preparation: Subasish Das, Mouvid Islam, Anandi Dutta, and Tahmida Hossain Shimu. All
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- 34

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